August – December 2019

SPOTLIGHTS

IIA Bookstore

Sawyer’s Internal Auditing: Enhancing and Protecting Organizational Value, 7th Edition
SEE PAGE 5 FOR DETAILS.

Agile Auditing: Transforming the Internal Audit Process
SEE PAGE 7 FOR DETAILS.

The Speed of Risk: Lessons Learned on the Audit Trail, 2nd Edition
SEE PAGE 8 FOR DETAILS.

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This IIA Bookstore catalog was created with your professional development needs in mind. Whether you are just beginning your internal audit career, need management-minded and team training resources, or seek executive-level thought leadership, we have organized resources by category and topic.

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FEATURED PRODUCT BUNDLES

RISK BUNDLE

With the risk landscape expanding every day, practitioners must stay on top of leading practices. Get effective risk assessment and management approaches, along with practical tools that you can start implementing today.

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With insightful input from internal audit professionals around the world, The IIA’s new International Professional Practices Framework® (IPPF®), or “Red Book,” is more relevant than ever and should be a staple on the desk of every audit practitioner as they strive to conform with improvements and enhancements to the framework that steers the profession.

Item No. 1250
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Internal Auditing: Assurance & Advisory Services, Fourth Edition

This comprehensive textbook is designed to teach students the fast-growing global profession of internal auditing. Written through the collaboration of educators and practitioners, this textbook serves as a cornerstone for internal audit education. It covers key fundamentals of internal auditing that can be applied in an ever-changing business world, serving as a reference and training tool for internal audit practitioners.

Item No. 1249
Member Price: $149.99
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Clarity, Impact, Speed: Delivering Audit Reports That Matter

This time-tested handbook covers report-design and report-writing techniques, enabling auditors to construct clear and persuasive audit reports that address current and emerging demands. Small or large, newly established or long-standing, private or public, this book is applicable to all.

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Applying the IPPF, 4th Edition

With practical guidance on how to put The IIA's International Professional Practices Framework (IPPF) into action, this edition reflects the 2017 revisions to the International Standards for the Professional Practice of Internal Auditing, the Core Principles, and the Implementation Guides. The authors take two new approaches — looking at internal audit application of the IPPF through a maturity model lens and from the perspective of small audit departments. They review basic information on the essential elements required for providing high-quality internal audit services, discuss in depth those elements representing significant change, and provide specific practical tools and techniques.

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Member Price: $59.99
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Quality Assessment Manual for the Internal Audit Activity, 2017 IPPF Aligned

Revised to incorporate requirements of The IIA's International Professional Practices Framework (IPPF) and the update to the International Standards for the Professional Practice of Internal Auditing effective Jan. 1, 2017, this is a valuable resource for all organizations with a Quality Assurance and Improvement Program or for those entities responsible for conducting external assessments.

Item No. 1256
Member Price: $230.00
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Sawyer’s Internal Auditing: Enhancing and Protecting Organizational Value, 7th Edition

This seventh edition builds on Sawyer’s legacy by looking at internal auditing from a business perspective. It is organized to show how to develop the ideal internal audit function and also how to think critically about the value, services, and products that internal audit offers. This guide examines how to apply a business approach to build an internal audit function capable of increasing its value over time, develop a risk-based audit plan, and execute assurance and advisory engagements.

Item No. 1304
Member Price: $199.99
Nonmember Price: $249.99

Internal Auditing: Uncover the Myths, Discover the Value

Internal auditors play a critical role in helping the organizations they serve to better manage risk and achieve their objectives. This book presents a number of tangible ways internal audit activities create and add value.

Item No. 1198
Member Price: $39.99
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A Journey Into Auditing Culture — A Story and a Practical Guide

In collaboration with Grant Thornton – United Kingdom, the Internal Audit Foundation’s new release, A Journey Into Auditing Culture — A Story and a Practical Guide, provides guidance on how to audit culture using a structured and tangible approach. Part of the book is the story of Alex, the head of internal audit for a global organization, who is asked by his CEO to audit culture. Like many of us, he is unsure of where to start. Each chapter is structured to cover both Alex’s challenge and his journey of exploration into this fascinating new area for internal audit.

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Auditing Outsourced Functions: Risk Management in an Outsourced World

Though more organizations are turning to outsourcing to reduce costs and maintain profitability, such partnerships can have unintended, unforeseen, or even disastrous results. The tools and techniques in this guide will help auditors, managers, and executives navigate the hidden risks of outsourcing.

Item No. 1108
Member Price: $47.99
Nonmember Price: $59.99

Auditing Human Resources Bundle

Internal audit essentials for any human resource audit activity are included in this group of books. A must-have for every audit shop, these resources have been bundled for savings.

Item No. 1071
Member Price: $127.59
Nonmember Price: $159.49

Essentials: World-Class Tools for Building an Internal Audit Activity, 2nd Edition

Available in a convenient CD/DVD, internal audit departments can upgrade, refresh, and revitalize their internal audit manual and download as many of the copyright-free documents provided as desired to update, revise, blend, and customize for their own use.

Item No. 1215
Member Price: $249.99
Nonmember Price: $349.99

Visit www.theiia.org/Bookstore for more details and additional resources.
Auditing the Procurement Function, 2nd Edition

This second edition covers topics that have grown in importance in the decade since the first edition — the tremendous increase of outsourcing and offshoring and technological advances, including artificial intelligence. It offers practical advice for auditing the procurement function and is relevant for all types and sizes of organizations globally.

Item No. 1257
Member Price: $49.99
Nonmember Price: $64.99

Message, Brand, and Dollars: Auditing Marketing Operations

When it comes to marketing and internal auditing, most people don’t envision the two departments as part of a collaborative effort for the good of the organization. Marketing is the pie-in-the-sky service where creativity trumps controls every time. Internal audit is the down-to-earth activity where common sense drives the mitigation of risks. This book shows how these two opposites can work together to drive an organization’s marketing success.

Item No. 1258
Member Price: $29.99
Nonmember Price: $39.99

Raise the Red Flag: An Internal Auditor’s Guide to Detect and Prevent Fraud

The fraud curve can be treacherous. By applying the principles and practices in this book, along with professional skepticism and a questioning mind, internal auditors will know when to raise the red flag and what to do about it.

Item No. 1191
Member Price: $57.59
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Agile Auditing: Transforming the Internal Audit Process

The new paradigms being ushered in by the evolution of data science require that the internal auditing value proposition evolve and change if internal auditing is to remain relevant to stakeholders. One way to change in a meaningful way is to become more agile in how internal auditing is performed.

Through discussion, definitions, and four real-life case studies, you will learn what Agile IA is and how to apply Agile IA concepts to the internal auditing process in a practical way.

Item No. 1317
Member Price: $99.99
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NEW PRODUCTS

**Management’s Guide to Sarbanes-Oxley Section 404, 4th Edition**

New insights from the SEC, PCAOB, and The IIA prompted award-winning author Norman Marks to update his book, *Management’s Guide to Sarbanes-Oxley Section 404*. The new edition includes information intended to keep CEOs, CFOs, and anyone who signs off on required internal control-related reports from running afoul of guidelines.

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**Wayfinding: Stories of Internal Audit Leaders Navigating to Success**

There is no formula for success, but there is a method. Many of the stories in this book describe life courses navigated by an ancient method: wayfinding. They tell the experience each of the leaders had finding their way through a unique combination of chance and choice.

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**Trusted Advisors: Key Attributes of Outstanding Internal Auditors**

Trust is crucial to internal audit’s success. It is how we are able to be heard and effect positive change on critical issues. Award-winning author Richard Chambers, president and CEO of The IIA, taps the insights of an array of leading internal auditors and, from their experiences, provides insights into the traits that characterize outstanding internal auditors and those who have also earned a “seat at the table.”

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**The Speed of Risk: Lessons Learned on the Audit Trail, 2nd Edition**

In 2014, IIA President and CEO Richard Chambers shared what he believed were the most important lessons from almost 40 years as an internal audit professional in the first edition of his book, *Lessons Learned on the Audit Trail*. The response to the book was quite remarkable. It was swiftly translated into Spanish, French, Traditional Chinese, and Simplified Chinese, and internal auditors swooped up more than 15,000 copies worldwide.

In the years since the first edition was published, Chambers has shared numerous new lessons across various content platforms — presentations, social media, and more than 200 blogs and articles. This new edition has been updated to include perspectives on key developments from recent years that have critical implications for the profession. His hope is that readers will find his journey worthwhile and learn how to harness their own personal passion for the internal audit profession.

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The Evolving Role of the CAE: Taking on Compliance and ERM
Drawing upon their broad knowledge, today’s chief audit executives may be called upon to assume expanded responsibilities outside of traditional internal auditing. This report explores the benefits and challenges from different perspectives.

Item No. 5065
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Data Analytics: A Road Map for Expanding Analytics Capabilities
Data analytics is becoming a key tool for internal auditors and many business users. Written in collaboration with Grant Thornton, this book addresses the need for the right organizational structure to achieve analytics success. Topics include the evolving data and analytics needs of companies, the shift toward an analytics and digital transformation, how to define and score analytics priorities, how to blend and enrich data to create “thick data,” and how to measure the impact and value of analytics activities.

Item No. 5096
Member Price: $29.99
Nonmember Price: $36.99

Data Analysis and Sampling Simplified: A Practical Guide for Internal Auditors
The biggest problem facing auditors isn’t conducting the data analysis and sampling activities, but rather conducting them at the right place in the audit cycle. Data Analysis and Sampling Simplified: A Practical Guide for Internal Auditors serves as a concise “how and when to” resource for performing data analysis and sampling activities at specific times during the audit cycle. Therefore, the sections of the book are organized based on the phases of the audit. It is designed to live on the desks of internal auditors, not on the bookshelves, and can travel to fieldwork for quick reference of the appropriate section for guidance on how to perform each step.

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Member Price: $59.99
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Visit www.theiia.org/Bookstore for more details and additional resources.
CIA Exam Practice Questions - Certified Internal Auditor® 2019

*CIA Exam Practice Questions* is intended to familiarize interested parties with the content and format of the Certified Internal Auditor® exam. The questions in this guide are representative of those that a CIA candidate can expect to see on future exams.

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CRMA Study Guide and Exam Practice Questions Bundle

Prepare for the Certification in Risk Management Assurance® (CRMA®) exam with this study guide and exam practice questions book that cover all four official exam domains. *CRMA Exam Study Guide* serves as an aid to taking the exam and enhances your knowledge of risk management assurance for audit-related activities. *CRMA Exam Practice Questions* include practical, scenario-based questions as well as those of a theoretical nature.

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Gleim Premium CIA Review System

Gleim Premium CIA Review System includes everything a busy CIA candidate needs to pass the CIA exam on the first try. The system integrates all available materials into an easy-to-use, 3-step approach so candidates can diagnose weak areas, study from review materials written by professional educators, and apply knowledge with the most realistic, exam-emulating multiple-choice questions on the market.

Item No. 6421
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Gleim CIA Review Test Bank

Gleim CIA Review Test Bank allows candidates to practice with thousands of questions that emulate the exam environment and learn from detailed explanations to both incorrect and correct answers.

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The IIA’s CIA Learning System Version 6.0 — 3-part Online Study System

The IIA’s CIA Learning System® Version 6.0 is a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi in a flexible, on-demand format. It combines online quizzes, study tools, and reading materials (printed books available as an optional add-on). Prepare for the CIA exam with confidence and convenience and gain skills to excel in your internal audit career.

Get complete details at www.LearnCIA.com.

Item No. 1293
Member Price: $795.00
Nonmember Price: $895.00
Optional printed books: $100.00
Managing Risk in Uncertain Times: Leveraging COSO’s New ERM Framework

In today’s business environment, risk can come from all directions and knowing where to turn for guidance can be challenging. Author and ERM expert Paul Sobel helps internal auditors and risk managers understand the implications of COSO Enterprise Risk Management – Integrating With Strategy and Performance.

Sobel explains how to use the COSO ERM Framework as an evaluation tool and highlights the alignment of the updated framework with The IIA’s latest IPPF and implementation guidance.

Item No. 1278
Member Price: $49.99
Nonmember Price: $59.99

COSO Enterprise Risk Management - Integrating with Strategy and Performance

The updated Framework helps organizations stay several moves ahead of the game in today’s volatile, complex, and ambiguous ERM landscape by focusing on ERM challenges and evolving expectations that business leaders and boards face, highlighting the importance of ERM in strategic planning, and emphasizing the embedding of ERM throughout an organization.

Item No. 6405
Member Price: $125.99
Nonmember Price: $169.99

Practical Enterprise Risk Management: Getting to the Truth

Rather than wasting time mulling over theories, this book delivers “how-to” applications of ERM methods that work and step-by-step descriptions of two practical ERM approaches. Based on the more than 20 years and estimated 40,000 hours of ERM experience of author Larry Baker, the book offers concise, focused, and practical guidance for those interested in initiating, executing, and evolving ERM, regardless of experience level. It also includes support forms, tools, and customizable templates.

Item No. 1279
Member Price: $95.99
Nonmember Price: $119.99

Fraud Risk Management Guide

Issued by COSO, this guide offers guidance that defines principles and points of focus for fraud risk management and describes how organizations of various sizes and types can establish their own effective and efficient fraud risk management program. It also includes examples of key program components and resources that organizations can use as a starting place to develop their own program.

Item No. 6381
Member Price: $55.20
Nonmember Price: $69.00
Assessing and Managing Strategic Risks: What, Why, How for Internal Auditors

Designed to provide internal auditors with sound, practical advice that can better enable them to meet the critical challenge of today’s dynamic risk environment. The primary focus is on two key processes: the assessment of strategic risks and strategic risk management. Content outlines frameworks on strategy, strategic risks, and a basic strategic risk assessment methodology.

Item No. 1270
Member Price: $44.99
Nonmember Price: $54.99

Performance Auditing: Measuring Inputs, Outputs, and Outcomes, Third Edition

Developed for the practitioner and trainer, it emphasizes the use of measurement and comparison in performance assessment. Gleaning leading standards from the U.S. Government Accountability Office (GAO), the International Organization of Supreme Audit Institutions (INTOSAI), and The IIA, this valuable resource provides concepts and best practices to execute a performance assessment.

Item No. 1233
Member Price: $109.99
Nonmember Price: $139.99

Internal Audit Capability Model (IA-CM) for the Public Sector

Designed for the public sector, this book serves as the global model for communicating what is meant by effective internal auditing and how it serves an organization and its stakeholders — and for advocating the importance of internal auditing to decision makers. It is also a framework for assessing the capabilities of an internal audit activity against professional internal audit standards and practices, either as a self-assessment or an external assessment.

Item No. 1272
Member Price: $29.99
Nonmember Price: $39.99


It’s critical that professionals continuously refine their skills and knowledge to assess risk. Internal auditors at all levels and functions will gain confidence, be prepared to conduct risk assessments, receive step-by-step guidelines on how to use a risk assessment to create an audit plan, and understand the merits of alignment with business objectives. Purchase includes a comprehensive resource package with customizable templates and other useful elements.

Item No. 1280
Member Price: $95.99
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Artificial Intelligence: The Data Below

Awareness of data’s role in artificial intelligence will help internal auditors design an audit plan that addresses distinctive risks. Importantly, failure to identify and control artificial intelligence data risks upfront will generate further risks downstream, specifically to reputation, reporting, and management decision-making, just a few of the land mines associated with artificial intelligence.

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Auditing and Disruptive Technologies

There are huge risks in implementing disruptive technologies, and some will stumble, fall, and fail. Those that thrive will most likely have cutting-edge audit teams working on and with the latest technologies. The time for action is today. Are you ready to lead from the front?

Item No. 1284
Member Price: $29.99
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Blockchain and Internal Audit

In collaboration with Crowe, this research report is intended for all internal auditors to assist them in assessing their current level of preparedness regarding blockchain technology and to provide them with a road map for developing audit plans that address blockchain issues as they are encountered in the entities they are auditing.

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Member Price: Free
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Internal Auditors’ Response to Disruptive Innovation

Based on surveys and interviews with CAEs, this report explores the most frequently identified innovations and disruptions that organizations are currently facing and how the internal audit function is evolving to react to these changes. It provides helpful insights and best practices for internal auditors responding to these advances.

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Collaborative Auditing

Collaborative Auditing provides guidance through an understanding that internal audit’s responsibility goes beyond individual audit engagements to ensuring that key stakeholders get the knowledge and tools they need to improve processes and address the root causes of issues within their organizations.

Item No. 1219
Member Price: $39.99
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The Politics of Internal Auditing

This research report addresses political pressure on CAEs and their internal audit departments. Surveying and interviewing nearly 500 CAEs from various business sectors around the world, researchers Larry Rittenberg and Patty Miller share how effective CAEs work to mitigate political pressure and lessons learned that may assist you in managing it.

Item No. 5060
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For the practitioner who audits social media and for the organization’s board, C-suite, and executive management who are developing a social media strategy for the business. This book provides insight to internal audit professionals regarding how to best partner with the business to help ensure that pitfalls related to social media are identified and avoided, with the ultimate purpose of helping the organization achieve its social media objectives.

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